

In terms of the Financial Advisory and Intermediary Services Act (FAIS) (Act No. 37 of 2002) the following information must be disclosed to clients and potential clients:

<b>Full Name of FSP</b>	Phakama Administration Services (Pty) Ltd
<b>Registration number</b>	1990/002950/07
<b>Physical address</b>	Greenhill Village Office Park, Candlewood Building, Ground Floor c/o Nentabos & Botterklapper Street Die Wilgers Pretoria 0184
<b>Postal Address</b>	Private Bag X13 Lynnwood Ridge 0040
<b>Telephone</b>	(012) 348 8310
<b>Fax</b>	086 514 1115
<b>e-mail</b>	info@phakama.co.za
<b>FSP license number</b>	1473

Phakama is a financial services provider that has been registered with the Financial Sector Conduct Authority since 2004.

Phakama is authorised to provide financial services with respect to the following products.

<b>1</b>	<b>Category I</b>	<b>Advice</b>	<b>Intermediary service</b>	<b>Under supervision</b>
1.1	Long-term Insurance: Category A		X	No
1.2	Short-term Insurance: Personal Lines		X	No
1.3	Long-term Insurance: Category B1		X	No
1.20	Long-term Insurance: Category B2		X	No
1.4	Long-term Insurance: Category C		X	No
1.14	Participatory interests in Collective Investment Schemes		X	No
<b>4</b>	<b>Category IV</b>		<b>Intermediary service</b>	<b>Under supervision</b>
4.1	Assistance business FSP		X	No

- Phakama performs Intermediary services on behalf of Insurers and clients.
- Phakama does not own more than 10 % of issued shares directly or indirectly of any Life Assurer or Financial Product provider.
- Phakama is not an associated company of any Life Assurer or Financial Product

**PHAKAMA ADMINISTRATION SERVICES (PTY) LTD**

Greenhill Village Office Park, Ground Floor, Candlewood Building, c/o Nentabos and Botterklapper Street,  
Die Wilgers, Pretoria, 0184

Tel: (012) 348 8310, Fax: 086 514 1115, Email: [compliance@phakama.co.za](mailto:compliance@phakama.co.za)

Co. Reg. No.: 1990/002950/07, Vat Reg. No.: 4650214622, FSP No.: 1473

DIRECTORS: J.E. Gibson \*(Chairman), E.D. van Tonder (CEO), J.C. Oosthuizen, H. Kruger, E.A. Minnaar

[www.phakama.co.za](http://www.phakama.co.za)

Provider.

- Phakama has not earned more than 30 % of total commission from any one product provider within the past 12 months.
- Phakama earns its income by providing administration services where a fee is charged for services rendered which is documented in a Service Level Agreement.
- A gift register is available for inspection upon request.
- Phakama holds a Suitable Guarantee of one million Rand.
- If you feel that your rights have been prejudiced, or you have been aggrieved in any way, you have the right to lodge a complaint.

Phakama's Complaints Handling Procedure is available on Phakama's website ([www.phakama.co.za](http://www.phakama.co.za)) or upon request from the office.

- As an authorised Financial Services Provider we may not request or induce in any manner a Client to waive any right or benefit conferred on the Client by or in terms of any provision of the General Code of Conduct, or recognize, accept or act on any such waiver by a Client.
- Phakama's Conflict of Interest Management Policy is available on Phakama's website ([www.phakama.co.za](http://www.phakama.co.za)) or upon request from the office.
- Current Product Supplier list is available on request.
- Moonstone Compliance (Pty) Ltd is the Phakama's compliance officer and is represented by:

Mr RL van Tonder and Mr HJ Allworth

Moonstone Compliance can be contacted at:

Physical address: 25 Quantum Street, Technopark, Stellenbosch, 7600.

Telephone number: 021-8838000

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